

PATENT  
450101-03067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Miruka ISHII et al. #5  
Int'l Application : PCT/JP01/01692  
Int'l Filing Date : 5 March 2001  
For : INVESTMENT SYSTEM AND DATA  
TRANSMITTING/RECEIVING METHOD  
U.S. Serial No. : 09/980,604

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231, on March 15, 2002

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or  
Registered Representative

*Gordon Kessler*  
Signature

March 15, 2002

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of Missing Requirements" (form PCT/DO/EO/905) dated January 30, 2002 on the above application, a Declaration duly signed by the inventors, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

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Please charge any additional fees incurred or credit  
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the  
identified application is now complete. Early examination of the  
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Gordon Kessler  
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Enclosure